

03/08/02

31132 U.S. PTO


UTILITY PATENT APPLICATION TRANSMITTAL


Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	220408US2X
First Inventor or Application Identifier	Tetsuya KAWANISHI, et al.
Title	RESONANCE TYPE OPTICAL MODULATOR USING SYMMETRIC OR ASYMMETRIC ELECTRODE
Assignee Name:	(1) Communications Research Laboratory, Independent Administrative Institution (2) SUMITOMO OSAKA CEMENT CO., LTD.
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<h2>APPLICATION ELEMENTS</h2> <p>See MPEP chapter 600 concerning utility patent application contents</p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Sheets 21</p> <p>3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113) Total Sheets 18</p> <p>4. <input type="checkbox"/> Oath or Declaration Total Pages </p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</p> <p><input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p><input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification or Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>	<h2>ADDRESS TO:</h2> <p>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p> <h2>ACCOMPANYING APPLICATION PARTS</h2> <p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p> <p>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (2) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>16. <input checked="" type="checkbox"/> Other: Request for Priority, Statement re Filing in Foreign Language</p>
<p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:</p> <p>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts</p>	
<p>18. Amend the specification by inserting before the first line the sentence:</p> <p><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on</p> <p><input type="checkbox"/> Which was published in English</p> <p><input type="checkbox"/> Which was not published in English</p> <p><input type="checkbox"/> This application claims priority of provisional application Serial No. Filed</p>	
<h2>19. CORRESPONDENCE ADDRESS</h2> <div style="text-align: center;">  22850 (703) 413-3000 FACSIMILE: (703) 413-2220 </div>	

Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:		Date:	3/8/02
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Docket No. 220408US2X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Tetsuya KAWANISHI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: RESONANCE TYPE OPTICAL MODULATOR USING SYMMETRIC OR ASYMMETRIC ELECTRODE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	32 - 20 =	12	× \$18 =	\$216.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$84 =	\$84.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)				+ \$280 = \$280.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION				+ \$130 = \$130.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,450.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input checked="" type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE				+ \$130 = \$130.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT				+ \$40 = \$0.00
TOTAL				\$1,580.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,580.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: _____

3/8/02

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